## Caltech



### **A unified model for turbulence, convection and clouds for the CliMA Earth System Model**

### **Anna Jaruga IGF UW 2024**



### Clouds dominate uncertainties in climate projections





2100

### Clouds dominate uncertainties in climate projections



How much time do we have to act



2100

### More clouds, less warming

### Clouds dominate uncertainties in climate projections





Schneider et al., 2017: Climate goals and computing the future of clouds



Global model: ~10-50 km resolution

> Cloud scales:  $~10-100~m$

NASA MODIS: August 2018 clouds off the west coast of North America



**Cloud** microphysics scales: ~10-6 m

### Clouds cannot be resolved in climate models



Need to represent subgrid-scale processes: turbulence, convection and cloud microphysics

HOLIMO @ETH Zurich Field measurements with the holographic imager







### Clouds cannot be resolved in climate models



 $\begin{array}{c} \begin{array}{|c|c|c|}\hline \text{L} & \text{L} & \text{M} & \text{A} \\ \hline \text{L} & \text{L} & \text{L} & \text{M} \\ \hline \text{L} & \text{L} & \text{M} & \text{M} \\ \hline \text{L} & \text{L} & \text{M} & \text{M} & \text{M} \\ \hline \end{array} \end{array}$ 

Morrison et al. 2020 Confronting the Challenge of Modeling Cloud and Precipitation Microphysics

### Clouds cannot be resolved in climate models



 $\begin{array}{c} \begin{array}{|c|c|}\hline \text{c}} & \text{c}} & \text{c}} & \text{c}} & \text{c}} & \text{d} & \text{d} \\ \hline \end{array} \end{array}$ 

Morrison et al. 2020 Confronting the Challenge of Modeling Cloud and Precipitation Microphysics







### Clouds cannot be resolved in climate models







Murray et. al., 2012:

Ice nucleation by particles immersed in supercooled cloud droplets

# Homogeneous ice nucleation



Morrison et al., 2020: Confronting the Challenge of Modeling Cloud and Precipitation Microphysics





### **CliMA is building a new Earth system model whose components learn from observational and simulated data**



Clouds

### Targeted High-Resolution Simulations

### **Atmosphere Model**





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**Nat Efrat-Henrici**





**Oliver Dunbar**



## **Outline**

### **• Physics based parameterizations**

- Data driven calibrations
- Software design



### **A unified physics-based model of turbulence, convection, …**

![](_page_11_Picture_1.jpeg)

GCM:

**? ?** $\frac{\partial \langle \phi \rangle}{\partial t} + \nabla_h (\langle \phi \rangle \langle u \rangle) = -\frac{\partial}{\partial z} (\langle w \rangle \langle \phi \rangle) - \frac{\partial}{\partial z} \langle w^* \phi^* \rangle + \langle S_{\phi} \rangle)$ 

### **A unified physics-based model of turbulence, convection, …**

![](_page_12_Picture_1.jpeg)

![](_page_12_Figure_2.jpeg)

![](_page_12_Picture_3.jpeg)

Deep convection

![](_page_12_Picture_5.jpeg)

Shallow convection

### Stratocumulus

![](_page_12_Figure_8.jpeg)

**Boundary Layer** 

Environment

![](_page_13_Picture_2.jpeg)

![](_page_13_Picture_3.jpeg)

![](_page_13_Figure_4.jpeg)

vertical velocity [m/s]

 $\langle \phi \rangle = \phi \overline{\phi}_{up} + (1 - a) \overline{\phi}_{env}$ 

### **A unified physics-based model of turbulence, convection, …**

![](_page_13_Picture_1.jpeg)

- Domain decomposed into sub-domains: coherent updrafts and isotropic environment
- Coarse-grain fluid equations by conditionally averaging over subdomains, leading to exact conservation laws

![](_page_14_Picture_4.jpeg)

![](_page_14_Figure_5.jpeg)

vertical velocity [m/s]

$$
\langle \phi \rangle = a \overline{\phi}_{up} + (1 - a) \overline{\phi}_{env}
$$

$$
\langle w^* \phi^* \rangle = a w \overline{\phi} + (1 - a) \overline{w' \phi'}^{env}
$$

$$
+ a (1 - a) (\overline{\phi}_u - \overline{\phi}_e) (\overline{w}_u - \overline{w}_e)
$$

### **A unified physics-based model of turbulence, convection, …**

![](_page_14_Picture_1.jpeg)

$$
\langle u \rangle = -\frac{\partial}{\partial z} (\langle w \rangle \langle \phi \rangle) - \frac{\partial}{\partial z} \langle w^* \phi^* \rangle + \langle S_{\phi} \rangle
$$
  
?

### **A unified physics-based model of turbulence, convection, …**

![](_page_15_Picture_1.jpeg)

GCM:

 $\frac{\partial \langle \phi \rangle}{\partial t} + \nabla_h (\langle \phi \rangle)$ 

## SGS model:  $\langle w^*\phi^* \rangle = -(1 -$

![](_page_16_Figure_1.jpeg)

 $\begin{array}{c} \hline \begin{array}{c} \hline \end{array} & \hline \begin{array}{c} \hline \end{array} & \hline \begin{array}{c} \hline \end{array} & \hline \end{array} \end{array}$ 

Tan et al., 2018: An Extended Eddy-Diffusivity Mass-Flux Scheme for Unified Representation of Subgrid-Scale Turbulence and Convection Cohen et al. 2020: Unified Entrainment and Detrainment Closures for Extended Eddy‐Diffusivity Mass‐Flux Schemes Lopez-Gomez et al., 2020: A Generalized Mixing Length Closure for Eddy-Diffusivity Mass-Flux Schemes of Turbulence and Convection

![](_page_16_Figure_2.jpeg)

![](_page_16_Picture_4.jpeg)

### **A unified physics-based model of turbulence, convection, …**

- SGS scheme provides information on the sub-grid scale environment (co)variances of ( $q_t$ ,  $\theta$ ) and mean updraft values
- When coupling with cloud microphysics scheme we assume a distribution shape: Log-normal or Gaussian

![](_page_17_Picture_3.jpeg)

![](_page_17_Picture_6.jpeg)

![](_page_17_Picture_7.jpeg)

H

### **A unified physics-based model of turbulence, convection and clouds**

![](_page_18_Picture_7.jpeg)

**Microphysics, aerosol, …**

![](_page_18_Picture_9.jpeg)

 $\boldsymbol{\theta}$ 

### **A unified physics-based model of turbulence, convection and clouds**

- SGS scheme provides information on the sub-grid scale environment (co)variances of ( $q_t$ ,  $\theta$ ) and mean updraft values
- When coupling with cloud microphysics scheme we assume a distribution shape: Log-normal or Gaussian
- Microphysics autoconversion and accretion sources are computed by integrating over  $P(\theta, q_t)$ 
	- Environment: Numerical quadratures
	- Updrafts: Sum of  $\delta$  functions

![](_page_18_Picture_6.jpeg)

![](_page_19_Picture_5.jpeg)

- **1-moment microphysics** (cloud water and ice, rain, snow)  $\bullet$
- **2-moment microphysics** (Seifert and Beheng 2006, + 4 autoconversion and accretion options)  $\bullet$

![](_page_19_Picture_4.jpeg)

### **Currently available:**

![](_page_20_Picture_8.jpeg)

### **Currently available:**

- **1-moment microphysics** (cloud water and ice, rain, snow)  $\bullet$
- **2-moment microphysics** (Seifert and Beheng 2006, + 4 autoconversion and accretion options)  $\bullet$
- **Aerosol activation** (Abdul-Razzak and Ghan 2000 + ML calibrated options)
- **Ice nucleation** (Mohler et al 2006, water activity based: Knopf and Alpert 2013, Koop et al 2000)
- **Aerosol nucleation** (CLOUD experiments at CERN, Duane et. al. 2016, Kirkby et al 2016, Riccobono et al 2014)

![](_page_20_Picture_7.jpeg)

![](_page_21_Picture_10.jpeg)

### **Currently available:**

- **1-moment microphysics** (cloud water and ice, rain, snow)  $\bullet$
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- **Precipitation susceptibility tests** (Glassmeier and Lohmann 2016)
- **Terminal velocity** (Chen et. al. 2022)

![](_page_21_Picture_9.jpeg)

![](_page_22_Picture_12.jpeg)

- More ice nucleation paths (?)
- Aerosol model (?)
- P3 snow/ice microphysics scheme (Morrison and Milbrandt 2015)

![](_page_22_Figure_9.jpeg)

### **Currently available:**

 $\begin{array}{c} \n \begin{array}{c} \n \begin{array}{c} \n \text{C} \\
 \text{I} \\
 \text{N} \\
 \text{NSE} \\
 \text{NSE} \\
 \text{NSE} \\
 \text{NSE} \\
 \end{array} \n \end{array} \n \end{array}$ 

- **1-moment microphysics** (cloud water and ice, rain, snow)  $\bullet$
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- **Precipitation susceptibility tests** (Glassmeier and Lohmann 2016)
- **Terminal velocity** (Chen et. al. 2022)

- Replace unknown parametric functions with NNs  $\bullet$
- Calibrate with observations (e.g., CloudSat, MODIS)

![](_page_23_Picture_0.jpeg)

"physics" parameterizations

$$
\rangle \langle u \rangle \big) = -\frac{\partial}{\partial z} \big( \langle w \rangle \langle \phi \rangle \big) - \frac{\partial}{\partial z} \big( w^* \phi^* \big) + \big( S_{\phi} \big) \big)
$$

$$
-\frac{\partial(\rho a_i \overline{w'_i \phi'_i})}{\partial z}\left|+\rho a_i \overline{w}_i \left(\sum_j \epsilon_{ij} \overline{\phi}_j-\delta_i \overline{\phi}_i\right)\right|+\frac{\rho a_i \overline{S}_{\phi,i}}{\text{fources/sinks}}.
$$

## **Outline**

- Physics based parameterizations
- **• Data driven calibrations**
- Software design

![](_page_24_Picture_4.jpeg)

Targeted data acquisition

 $\begin{array}{c} \hline \begin{array}{|c|c|}\hline \hline \hline \end{array} & \begin{array}{|c|c|}\hline \end{array} & \begin{array}{|c|c|}\hline \end{array} & \begin{array}{|c|}\hline \end{array} & \begin{array}{|c|}\hline$ 

Process-level learning Uncertainty quantification

### 3D LES high resolution simulations of turbulence and convection

## **Learning from data**

Lopez-Gomez et al. 2022: Training Physics-Based Machine-Learning Parameterizations With Gradient-Free Ensemble Kalman Methods Dunbar et al. 2022: Ensemble-based experimental design for targeted high-resolution simulations to inform climate models

### **for turbulence and convection model**

![](_page_25_Figure_2.jpeg)

### **Dycoms RF02** Drizzling Sc trapped under inversion

### **Rico** Precipitating shallow trade wind convection

### **TRMM LBA**  Development of deep convection over Amazon

![](_page_26_Picture_11.jpeg)

### Individual test cases

![](_page_26_Picture_1.jpeg)

Ackerman et al., 2009: Large-Eddy Simulations of a Drizzling, Stratocumulus-Topped Marine Boundary Layer

![](_page_26_Picture_9.jpeg)

Van Zanten et.al., 2011: Controls on precipitation and cloudiness in simulations of trade-wind cumulus as observed during RICO

![](_page_26_Picture_4.jpeg)

Grabowski et. al., 2006: Daytime convective development over land: A model intercomparison based on LBA observations

![](_page_27_Figure_0.jpeg)

Shen et al. 2022: A Library of Large-Eddy Simulations Forced by Global Climate Models

![](_page_28_Figure_0.jpeg)

Simple driver models (prescribed flow, single column)

Process-level learning

![](_page_29_Picture_9.jpeg)

![](_page_29_Picture_10.jpeg)

High resolution simulations of Frocess-level learning<br>
Discriming particles dynamics (focus on Uncertainty quantification particles dynamics (focus on PySDM and cloud microphysics)

## **Learning from data**

**for cloud microphysics and aerosol models**

![](_page_29_Figure_2.jpeg)

Bartman et al JOSS 2021: PySDM v1 particle-based cloud modeling package for warm-rain microphysics and aqueous chemistry Shipway and Hill QJRMS 2012: Diagnosis of systematic differences between multiple parametrizations of warm rain microphysics using a kinematic framework

![](_page_29_Picture_6.jpeg)

![](_page_29_Picture_7.jpeg)

A library of rainshaft superdroplet simulations with varying updraft speed, surface pressure and droplet concentration. (49 cases in total)

Calibration pipeline for bulk microphysics schemes against superdroplet simulations.

Azimi et al. 2023 (submitted):

Training warm-rain bulk microphysics schemes using super-droplet simulations

![](_page_30_Picture_6.jpeg)

![](_page_30_Figure_7.jpeg)

![](_page_30_Figure_8.jpeg)

## **Learning from data**

**for cloud microphysics and aerosol models**

A library of rainshaft superdroplet simulations with varying updraft speed, surface pressure and droplet concentration. (49 cases in total)

Calibration pipeline for bulk microphysics schemes against superdroplet simulations.

Azimi et al. 2023 (submitted):

## **Learning from data**

**for cloud microphysics and aerosol models**

Training warm-rain bulk microphysics schemes using super-droplet simulations

![](_page_31_Picture_6.jpeg)

![](_page_31_Figure_7.jpeg)

A library of rainshaft superdroplet simulations with varying updraft speed, surface pressure and droplet concentration. (49 cases in total)

Calibration pipeline for bulk microphysics schemes against superdroplet simulations.

Azimi et al. 2023 (submitted): VKI

## **Learning from data**

**for cloud microphysics and aerosol models**

![](_page_32_Figure_7.jpeg)

![](_page_32_Picture_148.jpeg)

Training warm-rain bulk microphysics schemes using super-droplet simulations

![](_page_32_Picture_6.jpeg)

## **Learning from data**

**for cloud microphysics and aerosol models**

![](_page_33_Picture_65.jpeg)

Azimi et al. 2023 (submitted):

![](_page_33_Figure_7.jpeg)

a

simulations

## **Learning from data**

**for cloud microphysics and aerosol models -> Example summer internship project**

### Abdul-Razzak and Ghan 2000 aerosol activation

$$
S_{max} = \left\{ \sum_{i=1}^{I} \left[ f_i \left( \frac{\zeta}{\eta_i} \right)^{3/2} + g_i \left( \frac{S_{mi}^2}{\eta_i + 3\zeta} \right)^{3/4} \right] \right\}
$$

$$
f_i = 0.5 \exp(2.5 \ln^2 \sigma_i)
$$

$$
a_i = 1 + 0.25 \ln \sigma_i
$$

Training in 0-dimensional parcel model, 1-mode aerosol distribution

EvoTree model

![](_page_34_Figure_3.jpeg)

model

![](_page_34_Picture_4.jpeg)

### Testing in 1-dimensional rain shaft model

![](_page_34_Figure_9.jpeg)

(d) Naive DNN model

![](_page_34_Picture_15.jpeg)

Mikhail Mints 2023

scheme

![](_page_35_Picture_0.jpeg)

"physics" parameterizations, data driven calibrations

$$
\rangle \langle \boldsymbol{u} \rangle \big) = -\frac{\partial}{\partial z} \big( \langle w \rangle \langle \phi \rangle \big) - \frac{\partial}{\partial z} \big( w^* \phi^* \big) + \big( S_{\phi} \big) \big)
$$

![](_page_35_Picture_3.jpeg)

## **Outline**

- Physics based parameterizations
- Data driven calibrations
- **• Software design**

![](_page_36_Picture_4.jpeg)

## **Building blocks of CliMA ESM**

![](_page_37_Figure_1.jpeg)

![](_page_37_Picture_2.jpeg)

![](_page_37_Figure_3.jpeg)

![](_page_37_Picture_4.jpeg)

![](_page_37_Picture_5.jpeg)

![](_page_37_Picture_6.jpeg)

![](_page_37_Picture_7.jpeg)

## **Workflow highlights: design for ML applications**

```
struct AccretionKK2000{FT}
                                                                   accretion (accretion\_scheme, q\_liq, q\_rai, p)A: : FT
    a::FT
                                                                - `accretion_scheme` - type for 2-moment rain accretion parameterization
    b::FT
                                                                - `q_liq` - cloud water specific humidity
end
                                                                - `q_rai` - rain water specific humidity
                                                                - `p` - air density (for `KK2000Type` and `Beheng1994Type`)
function AccretionKK2000(
    ::Type{FT},Returns the accretion rate of rain, parametrized following
    tomL_dict = CP.create_tomL_dict(FT),- Khairoutdinov and Kogan (2000) for `scheme == KK2000Type`
) where {FT}
                                                                - Beheng (1994) for `scheme == B1994Type`
    (i \text{ data}) = \text{tomLdict}- Tripoli and Cotton (1980) for `scheme == TC1980Type
    A = FT(data['KK2000_accretion-coeff_A"]['value'])t II II.
    a = FT(data["KK2000_accretion\_coeff_a"]["value"]function accretion(
    b = FT(data["KK2000_accretion\_coeff_b"]["value"](j, A, a, b)::CT.AccretionKK2000{FT},
    return AccretionKK2000(A, a, b)
                                                                   q<sup>liq</sup>,
                                                                   q_rai,
ena
                                                                   ρ,
                                                               ) where {FT}
Free parameters are stored separately in 
                                                                   q_lliq = max(0, q_l)q_{\text{r}}ai = max(0, q_{\text{r}}ai)
text files (interface with ML calibration 
pipelines)
                                                                   return A * (q_{\text{all}} q * q_{\text{all}})^a * \rho^b
                                                               ena
```
 $C$   $\prod_{\text{otherwise}}$ 

**They are passed in as arguments to all functions**

![](_page_38_Picture_5.jpeg)

## **Workflow highlights: design planning**

![](_page_39_Picture_1.jpeg)

sajjadazimi commented on Dec 29, 2022  $\cdot$  edited  $\rightarrow$ 

**Browser** 

![](_page_39_Picture_4.jpeg)

**Purpose** 

Add the two moment microphysics scheme of Seifert & Beheng 2001.

### **Cost/Benefits/Risks**

This will be the first two moment scheme in the Microphysics package. Two-moment mic accurate than one-moment schemes. Using a two-moment scheme can improve simulati

### **People and Personnel**

- Lead: @sajjadazimi
- Collaborators: @trontrytel
- · Reviewers: @trontrytel

### **Results and deliverables**

A buildkite simulating 1-D rain shafts with the two-moment microphysics scheme

### **Task Breakdown And Tentative Due Date**

(A preliminary list of PRs and a preliminary timeline of PRs, milestones, and key results)

- $\blacktriangleright$  Check derivation of equations in the paper.
- $\blacktriangleright$  Add clear documentation with detailed derivation of the scheme.
- Implement conversion rates of mass concentrations, and test as a 1-moment scheme

![](_page_39_Picture_87.jpeg)

**Discuss the design and objectives before starting** 

**Get feedback from software engineers and scientists** 

**Define intermediate steps**

![](_page_39_Picture_24.jpeg)

## **Workflow highlights: documentation**

![](_page_40_Picture_1.jpeg)

```
o The Seifert and Beheng (2006)
parametrization
```
○ Other double-moment autoconversion and accretion schemes

o Example figures

 $CLI_{\text{LUMATE}}^{\text{LUMSE}}$ 

Non-equilibrium cloud formation

Smooth transition at thresholds

Aerosol activation

ARG2000 activation example

**Ice Nucleation** 

Box model ice nucleation example

 $\blacktriangledown$ 

**Aerosol Nucleation** 

**API** 

```
ax1.ylabel = "autoconversion rate [1/s]"ax2.xlabel = "N_d [1/cm3]"ax2.ylabel = "autoconversion rate [1/s]"
ax3.xlabel = "q\_liq [g/kg] (q\_rai = 0.5 g/kg)"ax3.ylabel = 'accretion rate [1/s]''ax4.xlabel = "q-rai [g/kg] (q-liq = 0.5 g/kg)"ax4.ylabel = "accretion rate [1/s]"
```

```
fig[1, 3],[l1, l2, l3, l4, l26, l5, l6, l7, l8, l9],
```
![](_page_40_Figure_17.jpeg)

Version dev

ClimaCore performance CI

![](_page_41_Picture_2.jpeg)

similar

similar

**Array** 

Array

![](_page_41_Picture_7.jpeg)

![](_page_41_Picture_8.jpeg)

![](_page_41_Picture_56.jpeg)

## **Workflow highlights: testing, performance monitoring**

### CloudMicrophysics performance CI

![](_page_41_Picture_12.jpeg)

## **Instead of a summary:**

- Climate modelling has followed *mirror view* approach:
	- Deducing representation from detailed first-principles
	- Adding more detail leads to representing system better
	- Complexity and uncertainty of the system can be tackled with physics
- Other approaches could be:
	- *heuristic* (prioritise generating understanding)
	- *predictive* (prioritise predictive capabilities).
- Complexity needs to be tailored to model's purpose.

**Ulrike Proske et. al. 2023: https://doi. org/10.1029/2022MS003571 Addressing complexity in global aerosol climate model cloud microphysics.** 

![](_page_42_Picture_10.jpeg)

- Hindered understanding model as a book-keeper of added processes
- Generative entrenchment
- Parameters
- Over-interpretation vs negligence
- No reduction in uncertainty
- Opacity, authority
- Transparency about other factors influencing model choices

**Ulrike Proske et. al. 2023: https://doi. org/10.1029/2022MS003571 Addressing complexity in global aerosol climate model cloud microphysics.** 

![](_page_43_Picture_11.jpeg)

## **Instead of a summary:**

We are funded by a consortium of private foundations and federal agencies, led by the generosity of Eric and Wendy Schmidt by recommendation of the Schmidt Futures program, and the National Science Foundation.

SCHMIDT FUTURES

![](_page_44_Picture_6.jpeg)

![](_page_44_Picture_7.jpeg)

![](_page_44_Picture_8.jpeg)

![](_page_44_Picture_9.jpeg)

![](_page_44_Picture_10.jpeg)

![](_page_44_Picture_0.jpeg)

![](_page_44_Picture_1.jpeg)

## **Thank you for your attention!**

**[ajaruga@caltech.edu](mailto:ajaruga@caltech.edu) [github.com/CliMA](http://github.com/CliMA) [clima.caltech.edu](http://clima.caltech.edu)**